



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Lorraine W.
#3/A
6/13/02

Applicant: Murphy et al.

Art Unit: 2164

Serial No.: 10/047,307

Docket No.: END920020094US1

Filing Date: January 15, 2002

Examiner: Unknown

Title: METHOD AND SYSTEM FOR
RECEIVING AN ALERT CODE IN
A COMMERCIAL TRANSACTION

Box Non-Fee Amendment
Commissioner For Patents
Washington, D.C. 20231

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Sir:

PRELIMINARY AMENDMENT

Prior to initial examination on the merits, please amend the above-identified application
as follows:

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In the Specification

Please amend the Specification to appear as set forth below. A separate markup sheet showing
the revisions accompanies this Preliminary Amendment.

The paragraph spanning page 11, lines 10-16:

A1
In an alternative embodiment, user 38 could designate that he/she will communicate with
message center 44 to retrieve messages from certain contacts on the list, and directly

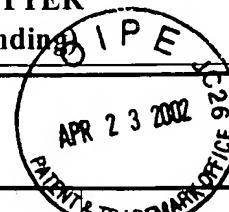
2164

TRANSMITTAL LETTER
(General - Patent Pending)

2164

Docket No.
END920010094US1

In Re Application Of: Murphy, Jr. et al.



Serial No.

Filing Date

10/047,307

1/15/2002

Examiner

Unknown

Group Art Unit

2164

Title: METHOD AND SYSTEM FOR RECEIVING AN ALERT CODE IN A COMMERCIAL TRANSACTION

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

COPY OF PAPERS
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Transmitted herewith is:

Preliminary Amendment in 3 pgs.

Separate Sheet in 2 pgs.

Acknowledgment Postcard

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in the above identified application.

- No additional fee is required.
- A check in the amount of _____ is attached.
- The Commissioner is hereby authorized to charge and credit Deposit Account No. 500999 as described below. A duplicate copy of this sheet is enclosed.
 - Charge the amount of _____
 - Credit any overpayment.
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Dated: April 11, 2002


Signature
Ronald A. D'Alessandro - Reg. No. 42,456
Hoffman, Warnick & D'Alessandro LLC
Three E-Comm Square
Albany, New York 12207
(518) 449-0044 Telephone
(518) 449-0047 Fax

I certify that this document and fee is being deposited on 4/11/2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Dorothea Rubbone

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CC: